

Applicant: Tadayuki Imai et al.  
 Serial No.: 10/534,635  
 Filing Date: May 11, 2005  
 For: OPTICAL WAVEGUIDE MATERIAL AND OPTICAL WAVEGUIDE

Confirmation No.: 8645  
 Att'y Docket No.: 14321.74  
 Art Unit: 2871



# INFORMATION DISCLOSURE CITATIONS MADE BY APPLICANT

## U.S. Patent Documents

Examiner Initial*	Document Number	Issue Date	Name
Aullah	5,581,396	12/03/1996	Kubota et al.
2	6,447,606 B2	09/10/2002	Imaeda et al.
3	2003/0072550 A1	04/17/2003	Sasaura et al.

## Foreign Patent Documents

Examiner Initial*	Document Number	Publication Date	Country or Patent Office	Translation
Aullah	1 248 143 A2	10/09/2002	EP	N/A
5	1 260 839 A2	11/27/2002	EP	N/A
6	8-6083	12/01/1996	Japan	No
7	8-190020	07/23/1996	Japan	No
8	11-335199	07/12/1999	Japan	No
9	11-352862	12/24/1999	Japan	No
10	2003-35831	02/07/2003	Japan	No
11	2 262 520 A	06/23/1993	United Kingdom	N/A

Examiner:

Akrm Enayet Ullah

Date Considered:

February 14, 2006

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form PTO-1449

Applicant:

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Other Documents

(including author, title, pertinent pages, etc.)

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R.E. Fontana, Jr., et al., *Epitaxially Grown Single Crystal KTN for Thin Film Optical Modulators*, IEEE Journal of Quantum Electronics, Vol. 11, No. 9, September 1975, pp. 860.

J. Hulliger et al., *Exploratory Technique in Liquid Phase Epitaxy of Potassium Tantalate Niobate*, Journal of Crystal Growth, Vol. 99, No. 1-4, January 1990, pp. 634-637.

**References Cited by Applicants**

While the filing of Information Disclosure Statements is voluntary, the procedure is governed by the guidelines of Section 609 of the Manual of Patent Examining Procedure and 37 C.F.R. §§ 1.97 and 1.98. To be considered a proper Information Disclosure Statement, Form PTO-1449 shall be accompanied by a copy of each listed patent or publication or other item of information and a translation of the pertinent portions of foreign documents (if an existing translation is readily available to the applicant), an explanation of relevance of each reference not in the English language, and should be submitted in a timely manner as set out in MPEP Sec. 609.

Examiners will consider all citations submitted in conformance with 37 C.F.R. § 1.98 and MPEP Sec. 609 and place their initials adjacent the citations in the spaces provided on this form. Examiners will also initial citations not in conformance with the guidelines which may have been considered. A reference may be considered by the Examiner for any reason whether or not the citation is in full conformance with the guidelines. A line will be drawn through a citation if it is not in conformance with the guidelines AND has not been considered. A copy of the submitted form, as reviewed by the Examiner, will be returned to the applicant with the next communication. The original of the form will be entered into the application file.

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The reference designations "A1," "A2," etc. (referring to Applicant's reference 1, Applicant's reference 2, etc.) will be used by the Examiner in the same manner as Examiner's reference designations "A," "B," "C," etc. on Office Action Form PTO-1142.

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